



Instructions for making a Charitable Gift with Stock

1) Transfer securities directly to Breakthrough Providence's broker:

Karen Emma
Universal Wealth Management
945 Reservoir Avenue, Cranston, RI 02910

Toll Free Phone: (877) 782-2855 or Direct Phone: (401) 626-3450 / (401) 331-7600

Email: Karen@universalwm.com

You will transfer the stocks into Breakthrough Providence's fiscal agent, the Wheeler School's Account.

Wheeler Account #: 037-660313
DTC#: 0443
Wheeler Federal Tax ID #: 05-0259101

2) Notify Breakthrough Providence and UWM with the stock NAME and NUMBER of share(s) being gifted to:

1. Michele Sczerbinski Diaz **Breakthrough Providence Development Committee Member**
(401)528-2132 or michelediaz@wheelerschool.org

OR

2. Emily Anderson, Senior Advancement Services Manager
(401)528-2253 or emilyanderson@wheelerschool.org

Important Information

All securities must be transferred into the Wheeler School's name prior to being sold; otherwise, the donor will be responsible for paying capital gains tax on the appreciated asset(s).

You or your Advisor must notify Breakthrough Providence and Universal Wealth Management when you are making a transfer. Due to security regulations, there are no individual names associated with an account on a stock gift, therefore it is essential that you notify us so we may properly thank and credit you for your generous donation.

**If applicable, you may send or deliver the stock certificate(s) and a signed stock power of attorney in separate envelopes to Breakthrough Providence. Please mail in separate envelopes to ensure the highest level of security.*